



Express Mail No.  
EI187449230US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Peter Rice et al.  
Application No. : 09/699,224  
Filed : October 27, 2000  
Confirmation No. : 8386  
For : PEPTIDE MIMICS OF CONSERVED GONOCOCCAL  
EPITOPES AND METHODS AND COMPOSITIONS  
USING THEM  
Group Art Unit : 1645  
Examiner : S. Devi

RECEIVED  
SEP 02 2003  
TECH CENTER 1600/2900

New York, New York  
August 26, 2003

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

DECLARATION OF PETER A. RICE, JUTAMAS NGAMPASUTADOL AND  
SUNITA GULATI UNDER 37 C.F.R. § 1.131

We, PETER A. RICE, a citizen of the United States, residing at 55  
Norfolk Road, Chestnut Hill, Massachusetts 02467, USA, JUTAMAS  
NGAMPASUTADOL, a citizen of Thailand, residing at 8 St. Paul Street, Cambridge,  
Massachusetts 02139, USA, and SUNITA GULATI, a citizen of the United States,  
residing at 14 Wheeler Street, Gloucester, Massachusetts 01930, USA, hereby declare  
and state as follows:

1. We are the co-inventors of the claimed subject matter in the above-  
identified patent application.

2. We have been informed by our attorneys that the Examiner has rejected, *inter alia*, the following claims in the February 26, 2003 Office Action in this application:

claims 1-3, 10-13 and 15, as anticipated by the disclosure of Ngampasutadol *et al.*, Abstracts of the Eleventh International Pathogenic Neisseria Conference, Nassif *et al.*, Eds., p. 159 (1998) ("Ngampasutadol *et al.*"); and claims 1-15 as obvious over the disclosure of Ngampasutadol *et al.*

3. We make this Declaration to establish that we conceived and reduced to practice the inventions of claims 1-15 in the United States before November 1, 1998, the publication date of the Ngampasutadol *et al.* abstract.

4. At the time we conceived and reduced to practice these inventions, we were all employed by Boston Medical Center.

5. Prior to November 1, 1998, we conceived and reduced to practice the following:

Claim 1. A peptide mimic of a conserved gonococcal epitope not found on human blood group antigens, wherein said peptide mimic is capable of inducing in a mammal an immune response against said conserved gonococcal epitope.

Claim 2. The peptide mimic according to claim 1, wherein the amino acid sequence of the peptide mimic comprises the sequence DE\_GLF.

Claim 3. The peptide mimic according to claim 1, wherein the immune response is T-cell dependent.

Claim 4. The peptide mimic according to claim 1 or 2, wherein the amino acid sequence of the peptide mimic comprises cysteine residues at each terminus.

Claim 5. The peptide mimic according to claim 4, wherein a cyclic peptide is formed through disulfide bridges between the cysteine residues at each terminus of said sequence.

Claim 6. The peptide mimic according to claim 5, wherein the peptide mimic further comprises at least one tail for coupling to a second agent.

Claim 7. The peptide mimic according to claim 6, wherein the second agent is an adjuvant.

Claim 8. The peptide mimic according to claim 1 or 2, wherein the peptide mimic further comprises an adjuvant or a carrier protein.

Claim 9. The peptide mimic according to claim 1 or 2, wherein the peptide mimic is part of a multiple antigen peptide.

Claim 10. The peptide mimic according to claim 1 or 2, wherein said peptide mimic competes with gonococcal LOS for binding to monoclonal antibody 2C7.

Claim 11. A peptide mimic which immunospecifically binds to an antibody that binds to an oligosaccharide epitope of *N. gonorrhoeae*, which oligosaccharide epitope is not present in human blood group antigens.

Claim 12. The peptide mimic according to claim 11, wherein the peptide mimic binds to monoclonal antibody 2C7.

Claim 13. The peptide mimic according to claim 11, wherein the peptide mimic binds to a monoclonal antibody produced by immunizing a mammal with an anti-idiotypic monoclonal antibody, or fragment thereof, produced by a hybridoma cell line having the characteristics of HB 11311 as deposited with the ATCC.

Claim 14. The peptide mimic according to claim 11, wherein the peptide mimic is part of a multiple antigen peptide.

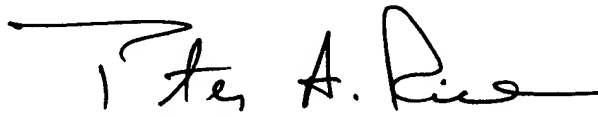
Claim 15. A composition for immunizing against *N. gonorrhoeae* infection comprising an immunoprophylactically effective amount of a peptide mimic according to any one of claims 1-3, 5-7, 9 or 11-14.

6. We have attached hereto, as Exhibit A, a true copy of a page from one of Dr. Ngampasutadol's research notebooks. The data shown on this page were generated in experiments conceived and conducted by us prior to November 1, 1998 and the entries on this page were made by Dr. Ngampasutadol prior to November 1, 1998 according to her regular and routine practice of keeping laboratory notebooks. The data show the peptide sequences of PEP1-7, which are recited throughout the specification and in Figure 1 of the present application. These data were and are considered to be confidential.

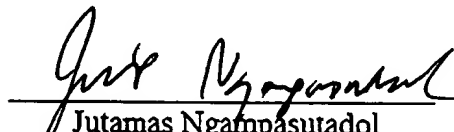
7. We have attached hereto, as Exhibit B, a true copy of another page from one of Dr. Ngampasutadol's research notebooks. The data shown on this page were generated in experiments conceived and conducted by us prior to November 1, 1998 and the entries on this page were made by Dr. Ngampasutadol prior to November 1, 1998 according to her regular and routine practice of keeping laboratory notebooks. The data show the inhibition of mAb 2C7 binding to LOS by the peptide sequences, PEP1-7, which are recited throughout the specification and Figure 1 of present application. The inhibition of mAb 2C7 binding to LOS by PEP1-7 demonstrates that PEP1-7 binds competitively to LOS. These data were and are considered to be confidential.

8. Exhibits A and B establish that we had completed our claimed inventions related to peptide mimics of conserved gonococcal epitopes prior to the publication of the Ngampasutadol *et al.* abstract relied upon by the Examiner.

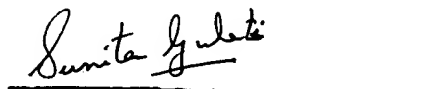
9. We declare further that all statements made herein of our own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18, United States Code, and that such willful false statements may jeopardize the validity of this application and any patent issuing thereon.

  
Peter A. Rice

Signed at  
this 19<sup>th</sup> day of August, 2003.

  
Jutamas Ngampasutadol

Signed at  
this 19<sup>th</sup> day of August, 2003.

  
Sunita Gulati

Signed at  
this 19<sup>th</sup> day of August, 2003.

# **EXHIBIT A**

mead  
**COMPOSITION**

Tutamas Ngam pas utadol

Book 4

100 sheets • 200 pages  
9¾ x 7½ in/24.7 x 19.0 cm  
wide ruled • 09910

© 1987—The Mead Corporation, Dayton, Ohio 45463 U.S.A.

	10	20	30	40	50	60	70
3-27 19383(21>211) ->	TTAGCCXAAXGGCTGTA						
3-22 19388(20>231) ->	TTAGCCNAANGGCTGTA						
1+2(12) 19385(22>208) ->	ACTCAAAGCGG-ACGGGGCG-ATCCTCGTCGATTCTGGGCAGAGTGGTGCGGTCCG-						
1+2(12) 19387(21>205) ->	CTCAAAGCGGTACGGGGCG-ATCCTCGTCGATTCTGGGCAGAGTGGTGCGGTCCG-						
1+2(12) 19384(21>204) ->	CTCAAAGCGGTACGGGGCGTATCCTCGTCGATTCTGGGCAGAGTGGTGCGGTCCG-						
1+2(12) 19386(22>231) ->	GGCG-ATCCTCGTCGATTCTGGGCAGAGTGGTGCGGTCCG-						
	80	90	100	110	120	130	140
19383(21>211) A>	TGGGGTCWRTVCR-----GGACGAGCRAGGRRRSTATGAG--G-----GGTCCGTGCAAAATGATCGC						
19388(20>231) K>	TGGGGTCT-T-GAT-----T-ACGAGCGAGGAACTATGAG--GAA-----GGTCCGTGCAAAATGATCGC						
19385(22>208) ->	TGGGGTCT-TG-ATT-----ACGAGCGAGGAACTATGAGGAA-----GGTCCGTGCAAAATGATCGC						
19387(21>205) ->	-----GTAC-T-CGT-----GGGAGAAAAAGGGCTGTTTCGAG--GGGGGCGTCCGTGCAAAATGATCGC						
19384(21>204) ->	-----ATTCGGGTTTTGGACGAGAACGGGTATTTGCGCCG-----GGTCCGTGCAAAATGATCGC						
19386(22>231) ->	AGGAAGTGGGGTCGAT-CCT-----GTACGGGCTAGGTGGG-----GGTCCGTGCAAAATGATCGC						
	150	160	170	180	190	200	210
19383(21>211) ->	CCCGATTCTGGATGAAATCGCTGACGAATATCAGGGCAAACCTGACCGTTGCAAACTGAACATCGATCAAAACC						
19388(20>231) ->	CCCGATTCTGGATGAAATCGCTGACGAATATCAGGGCAAACCTGACCGTTGCAAACTGAACATCGATCAAAACC						
19385(22>208) ->	CCCGATTCTGGATGAAATCGCTGACGAATATCAGGGCAAACCTGACCGTTGCAAACTGAACATCGATCAAAACC						
19387(21>205) ->	CCCGATTCTGGATGAAATCGCTGACGAATATCAGGGCAAACCTGACCGTTGCAAACTGAACATCGATCAAAACC						
19384(21>204) ->	CCCGATTCTGGATGAAATCGCTGACGAATATCAGGGCAAACCTGACCGTTGCAAACTGAACATCGATCAAAACC						
19386(22>231) ->	CCCGATTCTGGATGAAATCGCTGACGAATATCAGGGCAAACCTGACCGTTGCAAACTGAACATCGATCAAAACC						
	230	240	250	260	270	280	290
19388(20>231) ->	CTGGCACTGCGCCGAAATATGGCATCCGTGGTATCCCGAC						
19385(22>208) ->	CTGGCACTGCGCCGAAATATGGCATCC						
19384(21>204) ->	CTGGCACTGCGCCG						
19386(22>231) ->	CTGGCACTGCGCCGAAATATGGCATCCGTGGTATCCCGAC						

3-27, 3-28, 3-30, 3-22 WGLDYERONYEE → PER 2 (C)  
1+2(12) VLVDEKGLFEGG - PER 4  
1+2(12) IIVLDENGLFAP → PER 1 (3)  
1+2(15) EEVGSILYGLGG - PER 7  
1+2(18) ADRTQGLGWAE S PER 6



# **EXHIBIT B**

Mead  
**COMPOSITION**

Tutamas

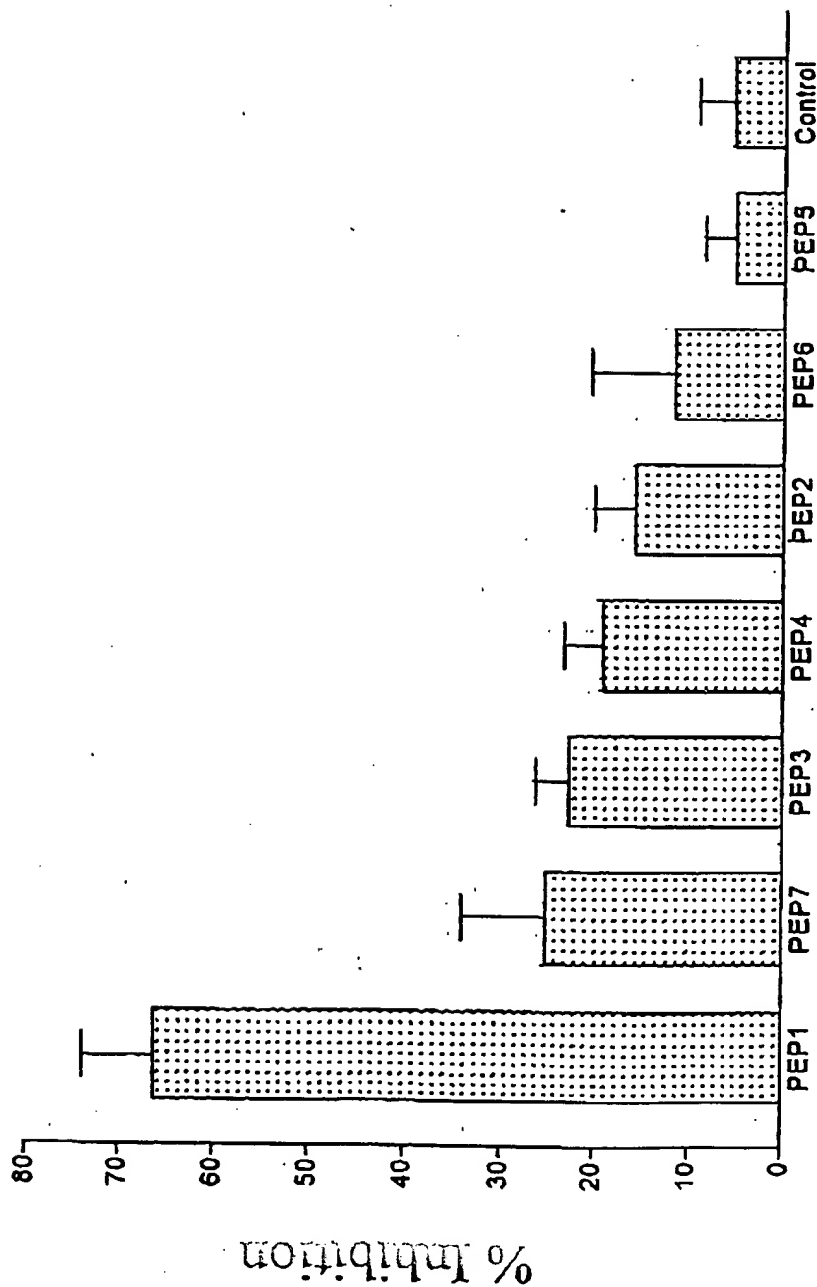
Nham pas ut aoloi

Book 4

100 sheets • 200 pages  
9¾ x 7½ in/24.7 x 19.0 cm  
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Figure 7. Inhibition of mAb 2C7 binding to LOS by *E. coli* clones expressing peptide fusions



*E. coli* clones

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Group Art Unit : 1645

Examiner : S. Devi

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SEP 02 2003  
TECH CENTER 1800/2800New York, New York  
August 26, 2003Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450DECLARATION OF PETER A. RICE UNDER 37 C.F.R. § 1.132

I, PETER A. RICE hereby declare and state as follows:

1. I am one of the co-inventors of the subject matter of the above-identified application.
2. I am currently Chief of the Section of Infectious Diseases of Boston Medical Center, 650 Albany Street, Boston, MA. I have held this position since 1996.
3. I received an M.D. in 1969 from the University of Pennsylvania School of Medicine. I completed my residency in 1974 at Peter Brigham Hospital. From

1974-1977 I held a clinical fellowship in Infectious Diseases at Harvard Medical School. I have published over 50 scientific papers in peer-reviewed journals in the relevant field of research. A copy of my curriculum vitae is attached as Exhibit A.

4. A major portion of my clinical research over the past twenty years has been devoted to the pathogenesis of gonococcal infection and host response of patients afflicted with *Neisseria gonorrhoeae* infection. Publications pertaining to my research may be found listed in my curriculum vitae (Exhibit A).

5. I am informed and believe that certain claims in the above-identified application have been rejected based upon United States patents 5,476,784 ("784 patent"); 5,939,067 ("067 patent") and 6,099,839 ("839 patent"), collectively, "the three patents." In particular, I understand that the Examiner believes that certain of the peptide mimics claimed in the present application are unpatentable under the judicially created doctrine of obviousness-type double patenting over the three patents, anticipated under 35 U.S.C. § 102(e) by the '869 patent, anticipated under 35 U.S.C. § 102(e) or 102(a) by the '067 patent, anticipated by the '784 patent under 35 U.S.C. § 102(b) and/or obvious under 35 U.S.C. § 103(a) over the '784 patent.

6. I make this declaration in support of the Reply to Office Action and Amendment, filed herewith in response to the February 26, 2003 Office action received in the above-identified application.

7. Specifically, I make this declaration in support of applicants' argument that the claimed subject matter of the present application is patentably distinct from the subject matter of the three patents.

8. In rejecting the pending claims, it appears that the Examiner is under the impression that the peptide mimics of the present invention were derived from

the anti-idiotypic antibodies of the three patents, and that the claimed peptide mimics have essentially the same properties of fragments of anti-idiotope antibodies discussed in the three patents.

9. This is not the case. The peptide mimics of the instant invention were generated by a selection method from a commercially available peptide library (*See* specification, e.g., page 12, line 28 to page 13, line 8). Therefore, the claimed peptide mimics have a derivation independent of the anti-idiotype antibodies disclosed in the three patents.

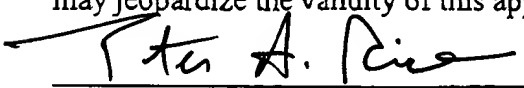
10. The three patents do not teach or suggest the peptide mimics described in the instant invention, nor do they refer to the use of any peptide mimics in the prevention or treatment of *N. gonorrhoeae* infections. The term "fragments" is defined in the '784, '067 and '839 patents as "portions of intact immunoglobulins that retain antigen binding specificity, for example, Fab fragments, Fab' fragments, F(ab')<sub>2</sub> fragments and F(v) fragments, fragments comprised of one or more complementarity determining region(s) (CDR), heavy chain monomers or dimers, light chain monomers or dimers, dimers consisting of one heavy and one light chain, and the like" (*See, e.g., '784 patent column 5, lines 30-37*).

11. In contrast, applicants' claimed peptide mimics are not portions of intact immunoglobulins. Furthermore, one of ordinary skill in the art as of the filing date understood that the fragments described in the three patents typically contain at least 50 amino acid residues. In the instant application, the claimed peptide mimics are defined as linear or cyclic chains of amino acids, usually at least 4 and less than 50 amino acids in length, which exhibit an immunological antibody binding profile similar to that of a

known epitope (*See, e.g.*, specification at page 12, lines 1-6). The three patents do not discuss or even mention such peptide mimics.

12. Moreover, it can be seen that the structure of the peptide mimics of the instant invention is distinct from the structure of the anti-idiotypic antibodies of the three patents. Attached hereto as Exhibit B are data showing the alignment of the amino acid sequence of one of the claimed peptide mimics of the instant invention, PEP1, with the sequences of the variable heavy and light chain subunits of the CA1 anti-idiotypic antibody discussed in the three patents. These variable heavy and light chain subunit sequences are the sequences that would be expected to be functionally analogous to the sequences of the instantly claimed peptide mimics. Using the BLAST sequence alignment program (<http://www.ncbi.nlm.gov>), it can be seen that there is no significant homology between the amino acid sequence of PEP1 and the CA1 anti-idiotypic antibody variable subunits. This demonstrates that the instantly claimed peptide mimics are structurally distinct from fragments of anti-idiotypic antibodies of the three patents.

13. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.



Peter A. Rice, M.D.

Signed this 19<sup>th</sup> day of

August, 2003 at Boston, Massachusetts.

# EXHIBIT A



7/08/03

## Curriculum Vitae

Peter Alan Rice



Address: Evans Biomedical Research Center  
 Boston Medical Center  
 650 Albany Street  
 Boston, Massachusetts 02118 Work: 617-414-5282  
 617-414-5280 (fax)  
 .parice@bu.edu (e-mail)

55 Norfolk Road  
 Chestnut Hill, Massachusetts 02467 Home: 617-738-6032

Date of birth: May 25, 1942

Place of birth: Orange, New Jersey

## Education:

1964	B.E.(Engineering)	Yale University, New Haven, Connecticut.
1965	Special Student	Yale University
1969	M.D.	University of Pennsylvania, Philadelphia, Pennsylvania.

## Medical School Training Appointments:

1967 (Summer)	Trainee in Anesthesiology, Appointed by the American Society of Anesthesiology, Mayo Clinic, Rochester, Minnesota.
1968 (Summer)	Clinical Clerk in Medicine, Western General Hospital, University of Edinburgh, Edinburgh, Scotland.

## Post-doctoral Training

## Internship and Residences:

1969-70	Intern in Medicine, Department of Medicine, Yale-New Haven Hospital, New Haven, Connecticut.
1970-71	Assistant Resident in Medicine, Department of Medicine, Yale-New Haven Hospital, New Haven, Connecticut.
1973-74	Senior Resident Physician, Department of Medicine, Peter Bent Brigham Hospital, Boston, Massachusetts.

5/30/03

## Curriculum Vitae

Peter Alan Rice

Address: Evans Biomedical Research Center  
 Boston Medical Center  
 650 Albany Street  
 Boston, Massachusetts 02118 Work: 617-414-5282  
 617-414-5280 (fax)  
 parice@bu.edu (e-mail)

55 Norfolk Road  
 Chestnut Hill, Massachusetts 02467 Home: 617-738-6032

Date of birth: May 25, 1942

Place of birth: Orange, New Jersey

## Education:

1964	B.E.(Engineering)	Yale University, New Haven, Connecticut.
1965	Special Student	Yale University
1969	M.D.	University of Pennsylvania, Philadelphia, Pennsylvania.

## Medical School Training Appointments:

1967 (Summer)	Trainee in Anesthesiology, Appointed by the American Society of Anesthesiology, Mayo Clinic, Rochester, Minnesota.
1968 (Summer)	Clinical Clerk in Medicine, Western General Hospital, University of Edinburgh, Edinburgh, Scotland.

## Post-doctoral Training

## Internship and Residences:

1969-70	Intern in Medicine, Department of Medicine, Yale-New Haven Hospital, New Haven, Connecticut.
1970-71	Assistant Resident in Medicine, Department of Medicine, Yale-New Haven Hospital, New Haven, Connecticut.
1973-74	Senior Resident Physician, Department of Medicine, Peter Bent Brigham Hospital, Boston, Massachusetts.

## Sabbatical

1970	National Board of Medical Examiners (Diplomate) Registration No. 107460
1974	Massachusetts License, Registration No. 37191
1974	American Board of Internal Medicine, Candidate No. 04571.
1976	American Board of Internal Medicine (Infectious Disease) Candidate No. 04571.

1976-77	Instructor, Department of Medicine, Channing Laboratory, Harvard Medical School, Boston, Massachusetts.
1977-81	Assistant Professor of Medicine, Department of Medicine, Boston University School of Medicine, Boston, Massachusetts.
1981-88	Associate Professor of Medicine, Department of Medicine, Boston University School of Medicine, Boston, Massachusetts.
1984-	Associate Professor of Microbiology, Department of Microbiology, Boston University School of Medicine, Boston, Massachusetts.
1985-	Associate Professor, Division of Graduate Medical Sciences, Boston University Graduate School, Boston, Massachusetts.
1988-	Professor of Medicine, Department of Medicine, Boston University School of Medicine, Boston, Massachusetts.
1988-	Professor of Public Health (Environmental Health), Boston University School of Public Health, Boston, Massachusetts.

## Academic Appointments, cont'd.

- 1990-95 Co-Director, Infectious Diseases, Boston University School of Medicine, Boston, Massachusetts.
- 1995-96 Director (Interim), Infectious Diseases, Boston University School of Medicine
- 1996 - Chief, Section of Infectious Diseases, Boston Medical Center, Boston University School of Medicine
- 1997 - Faculty Appointments and Promotions Committee, Boston University School of Medicine

## Hospital Appointments:

- 1975-83 Assisting Visiting Physician, Medical Service, Boston City Hospital, Boston, Massachusetts.
- 1977-96 Associate Staff, Medicine (Infectious Diseases), University Hospital, Boston, Massachusetts.
- 1983-88 Associate Visiting Physician, Medical Service, Boston City Hospital, Boston, Massachusetts.
- 1988-96 Visiting Physician, Medical Service, Boston City Hospital, Boston, Massachusetts.
- 1990-00 Director, The Maxwell Finland Laboratory for Infectious Diseases
- 1995- Active Staff Member, Jewish Memorial Hospital, Boston, Massachusetts
- 1996- Active Staff Member, Boston Medical Center, Boston, Massachusetts

## Hospital Service Appointments (Boston City Hospital [now Boston Medical Center]):

## Associate Director

- 1977-90 The Maxwell Finland Laboratory for Infectious Diseases, Boston City Hospital
- 1990-93 Division of Clinical Laboratories, Boston City Hospital

## Director

- 1977-92 Clinical Immunology Laboratory
- 1984-85 Allergy Clinic
- 1985-86 Infectious Disease Consult Clinic
- 1986-88 Sexually Transmitted Disease Clinic (Co-Director)
- 1990-96 Chief of Infectious Diseases, Boston City Hospital and Director, The Maxwell Finland Laboratory for Infectious Diseases
- 1993-94 Sexually Transmitted Disease Clinic (Co-Director)
- 1994-99 Sexually Transmitted Disease Clinic

Committees (*ad hoc* committees [eg. search committees] not listed)

- 1981-88 Library Committee
- 1983-93 Laboratory Advisory Committee

1988-89 Department of Medicine Task Force on AIDS  
 1988-89 Department of Medicine Planning Group  
 1990 Medical Executive Committee (as representative for Medical subspecialties)  
 1990 Credentials Committee  
 1995-96 Medical Directors Group, Ambulatory Care Center (ACC) Clinics, Boston City Hospital  
 1995 Policy Committee, Trustees of Health and Hospitals of the City of Boston, Inc.

#### Other Professional Positions Held:

1971-73 United States Public Health Service, Epidemic Intelligence Service (EIS) Officer, Center for Disease Control, Epidemiology Program, Bacterial Disease Branch, Enteric Disease Section, Atlanta, Georgia.  
 1975-78 Attending Physician in Medicine, South End Community Health Center, Boston, Massachusetts.  
 1977 Consulting epidemiologist, Wrentham State School, Wrentham, Massachusetts.  
 1977-85 Associate Staff, Dana Farber Cancer Institute, Boston, Massachusetts.  
 1983-84 Acting Director, The Maxwell Finland Laboratory and Section of Infectious Diseases at Boston City Hospital.  
 1996-00 Member, Evans Medical Foundation Board of Directors  
 1996- Steering Committee for PROCAARE, The Program for Collaboration Against AIDS and Related Epidemics (Global Communications for Health)

#### Awards and Honors:

1964 Ranking scholar, Yale School of Engineering.  
 1969 Roche Award (Gold Watch) - Awarded to the member of the graduating class whose qualifications exemplify those of the ideal American physician.  
 1978- National Institutes of Health research grant awardee  
 1978,79 Biomedical Research Grant Support (BRSG) awardee  
 1979-82 Charles H. Hood Foundation grant awardee  
 1988-93 Centers for Disease Control and Prevention (CDC) research grant awardee  
 1998- Centers for Disease Control and Prevention (CDC) research grant awardee

#### Membership in Professional Societies

American Federation for Clinical Research, Councillor, Eastern Section, 1979-81  
 Infectious Disease Society of America; Fellow.  
 American College of Physicians; Fellow.  
 American Society for Microbiology  
 American Sexually Transmitted Disease Association  
 American Association for the Advancement of Science  
 Massachusetts Infectious Disease Society, Councilor, 1992-94

#### Scientific Committees

National Institutes of Health (Charter Memberships [*ad hoc* assignments not listed])

1985-89 Bacteriology and Mycology Study Section, No. 2, (BM2) National Institute of Allergy and Infectious Diseases.  
 1991-95 Microbiology and Infectious Disease Research Committee (MIDRC), National Institute of Allergy and Infectious Diseases.  
 1995-99 National Institutes of Health Reviewers Reserve (NRR).

- 1980-90 Scientific Advisory Board, Hygeia Sciences, New Massachusetts.
- 1980-94 Scientific Advisory Review Committee (SARC) for Biomedical Research Grant Support (BRSG), Trustees of Health and Hospitals of the City of Boston, Boston, Massachusetts; Chairman; 1983-1994.
- 1995-00 Scientific Advisory Board, Binax Laboratories, Portland, ME
- 1995-00 (PEACH) Study – PID Evaluation and Clinical Health Study, Data Safety Monitoring Board, University of Pittsburgh
- 2000- Syphilis Treatment Trial Data Safety Monitoring Board, STD Branch, NIH/NIAID
- 2000-01 STD Treatment Guidelines Committee, Centers for Disease Control and Prevention
- 2000 Co- chair, International Pathogenic Neisseria Conference, Galveston, TX
- 2001 Consultation on the Control of *Neisseria gonorrhoeae* Infection in the United States, Moderator: Gonorrhea control among special populations, Centers for Disease Control and Prevention

Editorial Board  
1986-99 Sexually Transmitted Diseases

Editorial Consultant, 2001-2002  
New England Journal of Medicine  
Journal of Endotoxin Research  
Clinical Infectious Diseases  
Journal of Infectious Diseases

Major current research interests:

1. Immunology of bacterial infection
  - a. Immunology and pathogenesis of human infection with *Neisseria gonorrhoeae*.
    - (1) Role of lipo-oligosaccharides (LOSs) and LOS derived oligosaccharides in promoting inflammation via complement-dependent antibody activity
    - (2) Mechanisms of complement activation (and inactivation) in gonococcal infection.
    - (3) Immunochemical studies of outer membrane proteins; their roles and those of antibodies directed against them in promoting gonococcal infection.
  - b. Immunology of infection with non-typable (NT) *Haemophilus influenzae*
    - (1) Immunochemical and biochemical studies of outer membrane proteins and antibodies directed against them that protect against mucosal infections in humans.
    - (2) Experimental models of NT *H. influenzae* infection
    - (3) Evolutionary relatedness (by ribosomal typing) of NT *H. influenzae* in predicting homo-/heterogeneity of vaccine candidates at the molecular level.

2. Bacterial immunochemistry
  - a. Development of monoclonal antibody reagents against bacterial species specific membrane antigens for use in the diagnosis of human infection.
  - b. Studies of bacterial antigens their anti-idiotope surrogates and peptides mimicking lipooligosaccharide epitopes for human immunoprophylaxis.
3. Epidemiology of bacterial infections
  - a. Epidemiology of sexually transmitted diseases (STDs).
    - (1) Microbial and behavioral risk factors associated with transmission of *Chlamydia trachomatis* in adolescents.
    - (2) Optimizing strategies to provide STD partner services and reduce repeat infections in index cases.
    - (3) Pelvic inflammatory disease caused by *N. gonorrhoeae* and *C. trachomatis*, particularly silent disease.
    - (4) Disseminated gonococcal infection.
    - (5) Influence of immune mechanisms on the transmission and epidemiology of *N. gonorrhoeae* and *C. trachomatis* infection.
    - (6) Behavioral strategies to prevent transmission of sexually transmitted diseases (STDs).
4. New antimicrobial therapies for the treatment of STDs

#### Teaching Appointments:

- |                     |                                                                                                                                                                            |
|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1975-               | Attending physician (Internal Medicine), In patient service, Boston City Hospital, (now Boston Medical Center) Boston University School of Medicine, Boston, MA            |
| 1976-87             | Attending physician (Infectious Diseases), Dana Farber Cancer Institute, Harvard Medical School, Boston, MA                                                                |
| 1977-84,<br>1994-00 | Lecturer, Medicine 513MJ, Infectious Diseases, Harvard Medical School, Boston, MA.                                                                                         |
| 1977-91,<br>1995-00 | Lecturer and Laboratory Instructor, MED ME 711 (currently GMS MI 711) Microbiology Course, Boston University School of Medicine, Boston, MA.                               |
| 1977-               | Infectious Disease Teaching Consultant, Teaching hospitals of Boston University School of Medicine; Boston Medical Center (formerly Boston City and University Hospitals). |

Trainees (Masters' students not a):

Post-doctoral fellows\*Steven L. Berk, MD  
(10,18)Dates  
1977-1979Current PositionDean of Texas Tech School  
of Medicine in AmarilloJames P. O'Brien, MD  
(16,23,37,48)

1979-1981

Staff Physician, Alexian Brothers  
Hospital, Elk Grove Village, IllinoisHanspeter E. Gnehm, MD  
(32,33)

1980-1982

Chief of Pediatrics, Kinderlink,  
Kantonsspital, Zurich, SwitzerlandFrancisco J. Alvarado, MD  
[A-59,-61,-71,-72]

1984-1988

Chief, Geographic Medicine  
General Hospital, Cancun, MexicoPeter A. Dale, MD  
(29,45,51,69)

1986-1988

Private Practice in Internal  
Medicine & Infectious Diseases,  
Central Vermont Medical CenterS. Patrick Donegan, MD  
(70,81,91)

1986-1988

Staff Physician, OB/GYN Department  
ASPEN Medical Group, MinnesotaDaniel P. McQuillen, MD  
(63,69,74,77)

1988-1991

Staff Physician  
Lahey Clinic, Burlington, MASunita Gulati, DSc  
(77,78,82,90,93-95)

1993-1998

Research Assistant Professor of Medicine  
Boston University of MedicineSanjay Ram, MD  
(94,95,99,101)

1994-1998

Assistant Professor of Medicine, Boston  
University School of MedicinePhillip G. Braslins, MD  
[A-144,-163,-164,-165]

1997-2000

Assistant Professor of Medicine (pending)  
Boston University School of Medicine

Guillermo E. Madico, MD, PhD

2000-2001

Postdoctoral Fellow, Boston University  
School of Medicine

Katherine Hsu, MD

2001-

STD Prevention Fellow, Association of  
Teachers of Preventative Medicine  
(ATM)/Centers for Disease Control and  
Prevention (CDC)

Alpana Prasad, PhD

2001-

Postdoctoral Fellow, Boston University  
School of Medicine

Jutamas Ngampasutadol, MD, PhD 2002 -

Postdoctoral Fellow, Boston University  
School of Medicine

\* Under direct supervision, participated in creative works (publication [or abstract] numbers) during period as a post-doctoral fellow and, if published later, from work during the post-doctoral fellowship period



Deyanira D. Garcia, PhD 1987-1990  
Thesis title:-Purification, partial characterization, and immuno-reactivity of a 60 kDa *Brucella melitensis* B115 outer membrane protein, [A-61, A-71, A-72, A-73] (PhD awarded by School of Biologic Sciences, the National Polytechnical Institute, Mexico, DF, Mexico - 1995)

Sunita Gulati, DSc 1988-1993  
Thesis title:- Anti-idiotope antibody as a surrogate vaccine immunogen for lipooligosaccharide (LOS) of *Neisseria gonorrhoeae* (52,62,69,74,80,88,89)

Gilles R. Bolduc, PhD 1991-1998  
Thesis title: Combining phylogeny and selective DNA sequencing to examine a vaccine candidate (105)

Silke Getzlaff 1999- present (completing medical studies at Univ. Wurzburg)  
Thesis title [proposed]: The role of capsule in complement interactions with meningococci of different groups. [A-154, A-157-159]

Jutamas Ngampasutadol, MD, PhD 1996-2002  
Thesis title: Peptide mimic elicits bactericidal antibody response against an oligosaccharide epitope of *Neisseria gonorrhoeae* (111)

Invited lectureships at national and international conferences; those published as abstracts are listed ahead in **ABSTRACTS (invited speaker)**. Visiting professorships and Grand Rounds presentations are not listed.

The functional roles of human antibodies directed against outer membrane antigens of *Neisseria gonorrhoeae*. Third International Pathogenic *Neisseria* Conference, Montreal, Canada, August 1982.

Immunologic features of LPS and LPS derived oligosaccharides: their interaction with naturally occurring antibodies and their potential for immunogenicity. Workshop of "newer" aspects in the development of a vaccine for gonorrhea. National Institutes of Health, Bethesda, MD, January, 1983.

Acute Pelvic Infection. International Meeting of Maternal-Child Health Care. Mexico City, Mexico, May, 1984.

Recent Advances in Gonococcal Infection. International Meeting of Maternal-Child Health Care. Mexico City, Mexico, May, 1984.

Interactions of antibodies and complement on bacterial surfaces: lessons learned from the gonococcus. Tenth International Convocation on Immunology. Vaccines: New Concepts and Developments, Buffalo, New York, June, 1986.

Mechanism of stable serum-resistance of *Neisseria gonorrhoeae*. Fifth International Pathogenic *Neisseria* Conference, Noordwijkerhout, The Netherlands, October, 1986

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\* Under direct supervision, participated in creative works (publication [or abstract] numbers) during period as a graduate student and, if published later, from work during the pre-doctoral student period

Competition of antibody and complement on bacterial surfaces - the gonococcal paradigm. 21st Interscience Conference of Antimicrobial Agents and Chemotherapy, October, 1987.

Serum-Resistance of *N. gonorrhoeae*: Molecular basis. Sixth International Pathogenic Neisseria Conference, Atlanta, Georgia, No. MT2, October, 1988.

Specific roles of antibodies and complement in serum killing of *Neisseria gonorrhoeae*. American Society for Microbiology, New Orleans, Louisiana, May, 1989.

Blocking antibodies as they affect the immune system. Merck Sharp & Dohme Health Science Associate Infectious Disease Fellows Symposium, Albuquerque, New Mexico, August, 1990.

*Neisseria gonorrhoeae* employ diverse strategies to evade humoral host defenses. 31st Interscience Conference on Antimicrobial Agents and Chemotherapy, October, 1991.

Immunopathology of Gonorrhea. The Molecular Immunology of Sexually Transmitted Diseases. Sponsored by NIAID National Vaccine Program, Centers for Disease Control Food and Drug Administration and Department of Defense, Rocky Mountain Laboratories, Hamilton, Montana, July, 1991.

Antibodies, Microbes and Complement. Third Conference on Microbial Virulence Factors and the Human Immune Response. Oakland, CA September, 1991.

The male to female transmission of *Neisseria gonorrhoeae* is influenced by level of antibody to gonococcal Protein III. Eighth International Pathogenic Neisseria Conference, Mexico, October, 1992.

Pelvic inflammatory disease and prospects for a gonococcal vaccine. Infectious Disease '92; Life-Time Medical Television, Aired September 20, October 11, November 1, and 22, 1992.

Serum resistance of *Neisseria gonorrhoeae*: Does it thwart the inflammatory response and facilitate the transmission of infection? Microbial Pathogenesis and Immune Response. Sponsored by the NY Academy of Sciences, Orlando, FL, September, 1993.

Male Genitourinary Infections. Workshop on Ligase Chain Reaction (LCR) From Research to Clinical Laboratories. Sponsored by Abbott LCX Probe System, Taormina, Sicily, June, 1994.

A possible influence of vaccine induced Por, LOS, and Rmp antibodies on the outcome of intraurethral challenge with *Neisseria gonorrhoeae*. Ninth International Pathogenic Neisseria Conference, Winchester, England, September, 1994.

Immunologic and Microbiologic factors responsible for transmission of *Neisseria gonorrhoeae* and *Chlamydia trachomatis*. Workshop on Pelvic Inflammatory Disease. National Institute of Allergy and Infectious Diseases, Bethesda, Maryland, December, 1994.

Transmission of Gonorrhea and Chlamydial Infection from Men to Women: Efficiency and Sequelae. Sexually Transmitted Diseases in the HIV Era, Keystone, Colorado, April, 1995.

Is there protective immunity to gonococcal disease? 10th International Pathogenic Neisseria Conference, Baltimore, Maryland, September 8-13, 1996.

A Randomized trial of Ceftriaxone and Doxycycline vs. Ofloxacin and Clindamycin in the treatment of Sexually Acquired Plasma Cell Endometritis. The 12<sup>th</sup> Meeting of the International Society of Sexually Transmitted Diseases Research (ISSTD), Seville, Spain, October 19-22, 1997.

Infection in the upper genital tract: Does Chlamydia prevail persist and contribute to prolonged morbidity., Annual Meeting of the Swiss Society of Obstetrics and Gynecology, Geneva, Switzerland, June 17-20, 1998.

Interaction of *N. gonorrhoeae* lipooligosaccharide (LOS) and complement in the genital tract. The fifth conference of the International Endotoxin Society, Santa Fe, NM, September 12-15, 1998.

Pelvic Inflammatory Disease: Shortcomings in Recognition, Complications and Management, Where do we go from here? Current Topics in Infectious Diseases, Bermuda, April 16-18, 1999.

Complement and the Gonococcus; Does Innate Immunity Matter? Twelfth International Pathogenic Neisseria Conference, Galveston, TX, November 13-17, 2000.

How Pathogenic Neisseria Differ When Confronted by Complement. Fifteenth Annual Buffalo Conference on Microbial Pathogenesis, Microbial Pathogenesis Graduate Group & The Western New York Of The American Society For Microbiology, Amherst, NY, April 30, 2003

#### Personal

Spouse Nancy Royster Rice, married August 22, 1965.

Daughter Nicole Randolph Rice, born October 11, 1973.

External funding support for research and clinical programs., Peter A. Rice, M.D., Principal Investigator

Current Support (Direct cost support for the current Fiscal Year [2003-04])

- +1. Cooperating clinic for sexually transmitted diseases (07/01/02 – 6/30/04)  
\$254,959. P.A. Rice, M.D. - Principal Investigator. Source - Commonwealth of Massachusetts, State Laboratory.
  
- \*2. Program Announcement 98094 (10/01/98-09/30/03 Measuring the Risk for Transmission and Sequelae from Chlamydial Disease in the Era of Amplification Testing, \$275,393.  
P.A. Rice, MD - Principal Investigator. Source - Centers for Disease Control and Prevention (CDC).
  
- \*3. RO1 AI 32725-07 (04/01/99-03/31/09). Immunology of Infection with *Neisseria gonorrhoeae*, \$293,625. P.A. Rice, M.D. - Principal Investigator. Source - National Institutes of Health.
  
- \*4. U19 AI 38515-07 (09/30/99 – 08/31/04). Sexually Transmitted Disease Coop. Research Center, \$691,820. P.A. Rice, MD. - Principal Investigator. Source – National Institutes of Health.
  
- \*5. RO1 AI 44151-02 (04/01/99 – 11/30/03). Gyn Infection Follow Through (GIFT) Study, Roberta Ness, MD – Principal Investigator. Source – National Institutes of Health.  
Sub-contract, \$31,204. P.A. Rice, M.D., Co-I.
  
- \*6. PO1 AI 46518 (12/01/99 – 05/31/05). Immunity to STDs in the Human Male Genital Tract, Deborah Anderson, MD – Principal Investigator. Source – National Institutes of Health.  
Sub-contract, \$31,109. P.A. Rice, M.D., Co-I.
  
- \*7. Program Announcement 00080 (9/30/00 – 9/29/04). Optimizing Strategies to Provide Sexually Transmitted Diseases (STD) Partner Services, \$269,048. P.A. Rice, MD - Principal Investigator. Source - Centers for Disease Control and Prevention (CDC).
  
- ◆8. T32 AI52070-01 (07/01/02 – 06/30/07). Training Program in Host Pathogen Interactions, \$119,400. Peter A. Rice, MD – Principal Investigator. Source – National Institutes of Health

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+ Clinical Service

\* Research

◆ Training

Past Support (Direct costs totaling \$18,507,621)

1. RO1 AI 15633. Immunology of Infection with *Neisseria gonorrhoeae*, \$701,200. (12/01/78-11/30/88). Source - National Institutes of Health.
2. Immunologic and Chemical Studies of Non-Typable *Haemophilus influenzae* in children with Otitis Media, \$55,902, (08/01/79-06/30/82). Source - Charles H. Hood Foundation.
3. Immunochemical Studies of Infection with *Neisseria gonorrhoeae*, \$3,958, (08/01/78-07/31/79). Source - Biomedical Research Support Grant.
4. Immunochemical Studies of Non-Typable *Haemophilus influenzae* in children with Otitis Media, \$3,000, (08/01/79-07/31/80). Source - Biomedical Research Support Grant.
5. Purification of 19,000 M.W. Common Antigen from *Neisseria gonorrhoeae*, \$20,241, (01/01/85-12/31/85). Source - Hygeia Sciences, Newton, MA.
6. Clinical Testing of Dupont's new test procedure for the direct detection of *N. gonorrhoeae* from urogenital secretions, \$47,207, (01/01/85-12/31/85). Source - E.I. DuPont de Nemours & Co.
7. IM Cefmetazole (U-72791A), Cefoxitin or Penicillin for the Treatment of Uncomplicated Gonococcal Infections, \$146,000, (01/17/87-05/18/89). Source - Upjohn Pharmaceutical Co., Kalamazoo, MI.
8. Passive immunization of chinchillas with antibody directed against NT *H. influenzae* Protein 6 to prevent otitis media, \$6,598, (07/01/87-06/30/88). Sources - Praxis Biologics, Rochester, N.Y.
9. Treatment of Nongonococcal Urethritis in Males with Intramuscular Trospetomycin Sulfate, \$22,880, (03/01/88-06/21/88). Source - Upjohn Pharmaceutical Co., Kalamazoo, MI
10. RO-6240 (Fleroxacin) in the Treatment of Uncomplicated Gonorrhea: A Randomized, Open Study Versus Ceftriaxone, \$44,245, (08/23/88-07/10/89). Source - Roche Pharmaceuticals, a division of Hoffmann-La Roche, Nutley, NJ.
11. Development of rapid tests for sexually transmitted diseases, \$367,359, (6/01/83-7/23/90). Source - Hygeia Sciences, Newton, MA.
12. Cooperating clinic for sexually transmitted diseases, \$2,510,642 (07/01/86-07/23/97). Commonwealth of Massachusetts, State Laboratory.
13. Development of a culture facility for *Chlamydia trachomatis*, \$225,819, (08/01/86-06/30/90). Source - Hygeia Sciences, Newton, MA.
14. Comparison of Oral Cefpodoxime Proxetil (U-76252) and Ceftriaxone in the Treatment of Uncomplicated Gonococcal Infection, \$17,157, (01/04/90-05/07/90. Source - The Upjohn Company, Kalamazoo, MI.

15. Treatment of Gonococcal Infections with Intramuscular Ceftriaxone Sodium (Rocephin) (06/01/89-03/06/90). Source - The Upjohn Company, Kalamazoo, MI.
16. 1 PO1 NS21914-ID #032-30-2447. Clinical Otolaryngologic Research Center. Source - National Institutes of Health (NIND-CD) (09/01/85-08/31/90). Gerald B. Healy, M.D. - P.I.  
 Subproject: Experimental models of bacterial Otitis Media, \$390,921, (12/01/85-12/31/90). PI's - S.I. Pelton, M.D. and P.A. Rice, M.D.
17. Azithromycin in the Treatment of Chlamydial Urethritis/Cervicitis; A Multicenter Comparative Trial", \$12,000, (07/01/90-12/31/90). Source - Pfizer, Inc.
18. Clinical Investigation of PB Diagnostic Systems, Inc. OPUS™ HIV 1+2 Test System, \$29,618, (07/01/90-08/31/91). Source - PB Diagnostics.
19. A Multicenter Open Comparative Trial of Azithromycin and Ceftriaxone in Patients with Uncomplicated Gonococcal Urethritis/Cervicitis, \$128,250, (10/01/90-09/30/91). Source - Pfizer, Inc.
20. Ciprofloxacin vs. Standard Antibiotic Therapy, \$6,600, (02/01/91-07/31/91). Source - Parexel International Corporation..
21. Diagnostic Testing for *N. gonorrhoeae*, \$13,500, (05/01/91-09/30/91). Source - Unipath Limited.
22. PO1 AI 24760. Clinical and Laboratory Studies of Pelvic Inflammatory Disease (PID), \$2,384,935, (06/01/87-05/31/92). P.A. Rice, M.D. - Principal Investigator; Source - National Institutes of Health.
23. An Open-Label Multi-Investigator Comparative Study of the Safety and Efficacy of Cefepime and Ceftazidime in the Treatment of Hospitalized Patients with Septicemia, \$2,000 (05/01-07/31/92). P.A. Rice, M.D., Co-Principal Investigator. Source - Bristol Myers.
24. The Alternative Test Site Program for HIV Antibody Testing, \$129,823. (07/01/91-12/30/93). P.A. Rice, M.D. - Principal Investigator. Source - Commonwealth of Massachusetts, State Laboratory.
25. RFP 200-88-0649. Sentinel Hospital Surveillance System for HIV Infection, \$420,030 (10/01/88-9/30/93). P.A. Rice, M.D. - Principal Investigator. Source - Centers for Disease Control and Prevention.
26. Study of Ceftin for treatment of *N. gonorrhoeae* (75 evaluable patients), \$79,500 (07/01/92-06/30/93). P.A. Rice, M.D., Co-Principal Investigator. Source - Glaxo Pharmaceuticals.
27. Pre-Clinical Evaluation of HBsAg, \$7,445 (07/01/92-06/30/93). P.A. Rice, M.D., Principal Investigator. Source - PB Diagnostic Systems, Inc.

28. NO1-AI82507. Collaborative Prospective Cohort Studies of Perinatal Transmission of HIV and Retroviral Infections, \$535,244 - Core Laboratory (01/89-06/30/93). Ruth Tuomala, M.D. - Principal Investigator. Source - National Institutes of Health.
29. New pharmaceutical agent for treatment of gonorrhea and chlamydia infection, \$81,428 (07/01/94-06/30/95). P.A. Rice, M.D. - Principal Investigator. Source - Otsuka.
30. PO1 AI 33087 (09/01/92-04/30/96). Clinical and Laboratory Studies of PID, \$2,503,011. P.A. Rice, M.D. - Principal Investigator. Source - National Institutes of Health.
31. REP 200-93-0622 (09/27/93-06/30/96). Sentinel Hospital Surveillance for HIV infection, \$334,348. P.A. Rice, M.D. - Principal Investigator. Source - Centers for Disease Control and Prevention.
32. A Randomized, Multicenter, Double-Blind, Double Dummy Comparative Study of CP-99,219 and Doxycycline for the treatment of Uncomplicated Chlamydial Urethritis/Cervicitis (07/01/95-06/30/96). \$31,320. P.A. Rice, M.D. - Principal Investigator. Source - Corning Besselaar, Inc.
33. UO1 AI 34856 (07/01/93-06/30/97). Collaborative Prospective Cohort Studies of Perinatal Transmission of HIV and Retroviral Infections. Ruth Tuomala, M.D. - Principal Investigator. Source - National Institutes of Health.  
\*\*Core laboratory, \$323,512. P.A. Rice, M.D., P.I.
34. A Randomized, Double-Blind, Multicenter, Phase III Study of Two Single Dose Regimens of Gatifloxacin and a single dose of Ofloxacin in the treatment of Uncomplicated Gonococcal Infections. (01/01/98 - 05/01/98). \$20,000. Peter A. Rice, Principal Investigator; Source - Bristol-Myers Squibb Company.
35. RO1 AI 32725 (01/01/93-12/31/97). Immunology of Infection with *Neisseria gonorrhoeae*. \$894,972. Peter A. Rice, MD - Principal Investigator. Source - National Institutes of Health.
36. A Prospective Randomized Open-Label Study to Treat Silent Endometritis, (10/01/95-06/30/98) \$217,800, P.A. Rice, M.D. - Principal Investigator. Source - Ortho-McNeil Pharmaceuticals.
37. U19 AI 38515 (07/01/95-06/30/99). Sexually Transmitted Disease Coop. Research Centers, \$5,152,315. P.A. Rice, M.D. - Principal Investigator. Source - National Institutes of Health.
38. The Factive™ Study to treat Chlamydial and Non-gonococcal Urethritis, (06/01/99 - 10/31/99) \$19,500. P.A. Rice, M.D. - Principal Investigator. Source - Smith Kline and Beecham Pharmaceuticals, Collegeville, PA.
39. Cobas Amplicor *Chlamydia trachomatis* Clinical Trial, (1999-2001) \$50,000. P.A. Rice, MD. - Principal Investigator. Source - Hoffmann-La Roche, Inc.
40. U01 AI 39226-05 (10/01/95-09/30/00). STD Diagnostic Development Group. Roger N. Piasio - Principal Investigator. Source - National Institutes of Health.  
Sub-contract, \$541,866. P.A. Rice, M.D., P.I.

## PUBLICATIONS

1. Gangarosa, EJ, Barker, WH, Jr., Baine, WB, Morris, GK, and Rice, PA. Man vs animal feeds as the source of human salmonellosis. *Lancet* 1: 878-879, 1973.
2. Weissman, JB, Rice, PA, Krogstad, DJ, Baine, WB, and Gangarosa, EJ. Risk of severe intestinal infection to the traveler in Mexico. *J. Infect. Dis.* 128: 574-578, 1973.
3. Levine, MM, Rice, PA, Gangarosa, EJ, Morris, GK, Snyder, MJ, Formal, SB, Wells, JB, and Hammond, J. An outbreak of Sonne Shigellosis in a population receiving oral attenuated shigella vaccines. *Amer. J. Epid.* 99: 30-36, 1974.
4. Rice, PA, Craven, PC, and Wells, JG. *Salmonella heidelberg*, enteritis and bacteremia: an epidemic on two pediatric wards. *Amer. J. Med.* 60: 509-516, 1974.
5. Kasper, DL, Rice, PA, and McCormack, WM. Bactericidal antibody in genital infection due to *Neisseria gonorrhoeae*. *J. Infect. Dis.* 135: 243-251, 1977.
6. Baine, WB, Farmer, JJ, Gangarosa, EJ, Hermann, G, Thomsberry, C, and Rice, PA. Typhoid in the United States associated with the 1972-73 epidemic in Mexico. *J. Infect. Dis.* 135: 49-53, 1977.
7. Rice, PA, Baine, WB, and Gangarosa, EJ. *Salmonella typhi* infection in the United States, 1967-72: Increasing importance of foreign travelers. *Amer. J. Epid.* 106: 160-166, 1977.
8. Rice, PA, and Kasper, DL. Characterization of gonococcal antigens responsible for induction of bactericidal antibody in disseminated infection: the role of gonococcal endotoxins. *J. Clin. Invest.* 60: 1149-58, 1977.
9. Kasper, DL, and Rice, PA. Antigenic specificity of lipopolysaccharides to the bactericidal antibody response in gonococcal infection. In *Immunobiology of Neisseria gonorrhoeae*. Geo. F. Brooks, et al (eds.), American Society for Microbiology, Washington, DC, 1978, p. 187.
10. Posner, MR, Berk, S, and Rice, PA. Pneumococcal sepsis diagnosed by peripheral blood smear in multiple myeloma. *Arch. Int. Med.* 138: 1720-1721, 1978.
11. Rice, PA, and Loewenstein, MS. Epidemiology of Non-typhoid Salmonellosis: Present-Day Transmission Patterns, with special reference to Nosocomial Infections. *Public Health Rev.* 8: 155-176, 1979
12. Rice, PA. Bacterial Meningitis. In *Current Therapy*. Howard F. Conn (ed), W.B. Saunders Co., Philadelphia, PA, 1980, p. 41.



13. **Rice, PA, Nugent, SF, and Kasper, DL.** Antibodies that block killing of *Neisseria gonorrhoeae* are directed against outer membrane proteins. **Current Chemotherapy and Infectious Disease.** John D. Nelson and Carlo Grassi (eds.), American Society for Microbiology, Washington, DC, 1980, p. 1237.
14. **Rice, PA, and Baine, W.** Prolonged intermittent diarrhea after Shiga dysentery; post-dysentery syndrome. *South. Med. J.* 73: 381-383, 1980.
15. **Rice, PA, McCormack, WM, and Kasper, DL.** Natural serum bactericidal activity against *Neisseria gonorrhoeae* isolates from disseminated, locally invasive and uncomplicated disease. *J. Immunol.* 124: 2105-2109, 1980.
16. **Rice, PA, Huff, PM, Lamb, KJ, and O'Brien, JP.** *Neisseria gonorrhoeae* surface antigens: their interaction with human sera. *In Genetics and Immunobiology of Pathogenic Neisseria*, Dan Danielson and Staffan Normack (eds.), Norrlands-tryck i Umea, AB, Umea, 1980, p. 255.
17. **Rice, PA, and Goldenberg, DL.** Clinical syndromes produced by *Neisseria gonorrhoeae* from disseminated infection are linked to serum sensitivity of infecting strains. *Ibid.* p. 283.
18. **Berk, S, Rice, PA, Reynolds, CA, and Finland, M.** Pneumococcal pericarditis: a persisting problem in contemporary diagnosis. *Amer. J. Med.* 70: 247-251, 1981.
19. **Rice, PA.** Bacterial meningitis. *In Current Therapy*, Howard F. Conn (ed.), W.B. Saunders Co., Philadelphia, PA, 1981, p. 41.
20. **Rice, PA, and Goldenberg, DL.** Clinical manifestations of disseminated infection caused by *Neisseria gonorrhoeae* are linked to differences in bactericidal reactivity of strains. *Ann. Int. Med.* 95: 175-178, 1981.
21. **Rice, PA, and Kasper, DL.** Characterization of serum resistance of *Neisseria gonorrhoeae* that disseminate: the roles of blocking antibody and gonococcal outer membrane proteins. *J. Clin. Invest.* 70: 157-167, 1982.
22. **Goldenberg, DL, Chisholm, PL, and Rice, PA.** Experimental models of bacterial arthritis: A microbiologic and histopathologic characterization of the arthritis following the intra-articular injections of *Neisseria gonorrhoeae*, *Staphylococcus aureus*, group A streptococci, and *Escherichia coli*. *J. Rheumatol.* 10: 5-11, 1983.
23. **O'Brien, JP, Goldenberg, DL, and Rice, PA.** Disseminated gonococcal infection: A prospective analysis of 49 patients and a review of pathophysiology and immune mechanisms. *Medicine* 62: 395-406, 1983.
24. **DeMaria, A, Rice, PA, and McCabe, WR.** Bacterial, Rickettsial and Viral Diseases. *In Medicine, Essentials of Clinical Practice*, Third Edition, Robert W. Wilkins and Norman G. Levinsky (eds.), Little, Brown and Company, Boston, MA. 1983, p. 56.
25. **McCabe, WR, and Rice, PA.** Gastroenteritis and Infectious Diarrheas. *In Medicine, Essentials of Clinical Practice*, Third Edition, Robert W. Wilkins and Norman G. Levinsky (eds.), Little, Brown and Company, Boston, MA. 1983, p. 428.

26. Platt, R., Rice, PA, and McCormack, WM. Risk of acquiring gonorrhea and prevalence of abnormal adnexal findings among women recently exposed to gonorrhea. JAMA 250: 3205-3209, 1983.
27. Goldenberg, DL, Reed, JL, and Rice, PA. Arthritis induced by killed *Neisseria gonorrhoeae* and gonococcal lipopolysaccharide: An experimental model of reactive arthritis. J. Rheumatol. 11:1-8, 1984.
28. Goldenberg, DL, and Rice, PA. Disseminated gonococcal infection: current understanding of the clinical manifestations, laboratory features, and pathogenesis. In Progress in Clinical Rheumatology, Vol. I, A.S. Cohen (ed.), Grune and Stratton, New York, N.Y. 1984, p. 179.
29. Rice, PA, and Dale, PA. Infections of the genitourinary tract in women: Selected Aspects. In Advances in Internal Medicine, G.H. Stollerman, (ed.), Yearbook Medical Publishers, Chicago, Ill., 1984. p. 53.
30. Rice, PA: Avances recientes en infeccion gonococica (Recent Advances in gonococcal infection) Infectologia 11:297-302, 1984.
31. Rice, PA. Acute Bacterial meningitis. In Current Diagnosis 7, Rex B. Conn (ed.), W.B. Saunders Co., Philadelphia, PA., 1985, p. 909.
32. Karasic, RB, Trumpp, CE, Gnehm, HE, Rice, PA and Pelton, SI. Modification of otitis media in chinchillas rechallenged with nontypable *Haemophilus influenzae* and serologic response to outer membrane antigens. J. Infect. Dis 151:273-279, 1985.
33. Gnehm, HE, Pelton, SI, Gulati, S, and Rice, PA. Characterization of antigens from nontypable *Haemophilus influenzae* recognized by human bactericidal antibodies: The role of *Haemophilus* outer membrane proteins. J. Clin. Invest. 75:1645-1658, 1985.
34. Apicella, MA, Dudas, KC, Campagnari, A, Rice, PA, Mylotte, JM, and Murphy, TF. Antigenic heterogeneity of Lipid A of *Haemophilus influenzae*. Infect. Immun. 50:9-14, 1985.
35. Lammel, CJ, Sweet, RL, Rice, PA, Knapp, JS, Schoolnik, GK, Heilbrun, DC., and Brooks, GF. Antibody-antigen specificity in the immune response to infection with *Neisseria gonorrhoeae*. J. Infect. Dis. 152: 990-1001, 1985.
36. Joiner, KA, Scales, R, Warren, KA, Frank, MM, and Rice, PA. Mechanism of action of blocking immunoglobulin G for *Neisseria gonorrhoeae*. J. Clin. Invest. 76:1765-1772, 1985.
37. Yamasaki, R, O'Brien, JP, Rice, PA, Griffiss, JMcL, and Schneider, M. Physical heterogeneity of gonococcal LOS reflects different oligosaccharides differing in apparent  $M_r$ , chemical composition and antigenic expression. In The Pathogenic Neisseriae: Proceedings of the fourth international symposium. G.K. Schoolnik, G.F. Brooks, S. Falkow, C.E. Frasch, J.S. Knapp, J.A. McCutchan, and S.A. Morse (eds.) American Society for Microbiology, Wash., DC, 1985, p. 373.

38. Rice, PA, Tam, MR, and Blake, MS. . IgG antibodies in normal human serum (NHS) directed against PIII, block killing of serum-resistant *Neisseria gonorrhoeae* by immune human serum, *Ibid* p. 427.
39. Joiner, KA, Warren, KA, Frank, MM, and Rice, PA. Blocking IgG enhances complement consumption and deposition on *Neisseria gonorrhoeae*. *Ibid*. p. 431.
40. Apicella, MA, Westerink, MAJ, Morse, SA, Schneider, H, Rice, PA, Griffiss, J McL. Bactericidal Antibody Response of Normal Human Serum to the Lipooligosaccharide of *Neisseria gonorrhoeae*. *J. Infect. Dis.* 153: 520-526, 1986.
41. Rice, PA. Disseminated Gonococcal Infection. *In* Current Therapy in Infectious Diseases. E.H.Kass and R. Platt (eds.), B.C. Decker, Inc., Toronto, 1986, p. 251.
42. Murphy, TF, Bartos, LC, Rice, PA, Nelson, MB, Dudas, KC, Apicella, MA. Identification of a 16,600 Dalton Outer Membrane Protein on Non-typable *Haemophilus influenzae* as a target for human serum bactericidal antibody. *J. Clin. Invest.* 78: 1020-1027, 1986.
43. Mandrell, RE, Schneider, HS, Apicella, MA, Zollinger, WD, Rice, PA, and Griffiss, JMcL. Antigenic and physical diversity of *Neisseria gonorrhoeae* lipooligosaccharides. *Infect. Immun.* 54: 63-69, 1986.
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### Book

Pathobiology and Immunobiology of *Neisseriaceae*. Conde-Glez, CJ; Morse, SA; Rice, PA; Sparling, PF; Calderon E (eds). National Institute of Public Health, Cuernavaca, Mexico, 1994.

### Patents:

- (1) Immunological Diagnosis of Gonococcal Infection Using a Conserved Surface Protein Antigen of *Neisseria gonorrhoeae* - Peter A. Rice; L. Edward Cannon; T. Philip Wong and Wendy E. Jones.

Awarded:

Canada: No. 1,310,903, 12/01/92

Pending:

U.S.: Serial No. 07/688, 714, filed 10/22/87

- (2) Gonococcal Anti-idiotypic Antibodies and Methods and Compositions Using them - Peter A. Rice, Sunita Gulati and Daniel P. McQuillen.

Awarded:

U.S.: BOS-1, No. 5,476, 784; 12/19/95

OAP1: BOS-1, No. 10187, 12/18/96

NEWZ: BOS-1, No. 265,000, 04/20/98

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- (3) Peptide mimics of Conserved Gonococcal Epitopes and Methods and Compositions using them – Peter A. Rice, Jutamas Ngampasutadol and Sunita Gulati

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PCT: BOS-3, Serial No. PCT/US00/29749, filed 10/27/00

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### Works in Progress

Shrier, LA, Harter, K, Klein, E, Dean, D. and Rice, PA. Limitations of Screening Tests for the Detection of *Chlamydia trachomatis* in Asymptomatic Young Women (ms. submitted)

Ram, S, Cox, AD, Wright, JC, Vogel, U, Getzlaff, S, Boden, R, Plested, JS, Meri, S, Gulati, S, Stein, DC, Richards, JC, Moxon, R. and Rice, PA. Meningococcal lipooligosaccharide is a target for complement C4b: inner core phosphoethanolamine residues define C4b linkage specificity (ms. submitted)

Braslins, PG, Lin, J-S, Xiao-Hong, S, Klein, E, Coffey, DM, Schwartz, D, Shapiro, DS, and Rice, PA. Ligase Chain Reaction for *Chlamydia trachomatis* Increases Diagnostic Yield and Accuracy in a Hospital-based Clinical Microbiology Laboratory (ms. in preparation)

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Howland, J., Rice, PA. A Randomized Control Trial Of An Interactive Video For Reducing New Infections Among Patients of An Inner-City Clinic For Sexually Transmitted Disease (ms. in preparation)

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## ABSTRACTS

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# EXHIBIT B

# PEP1 (12 mer)

I P V L D E N G L F A P  
ATT CCC GTT TTG GAC GAG AAC GGG TTA TTT GCT CCG

# CA1(AB2) VL sequence

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1       5       10       15
E   L   M   M   T   Q   S   P   S   S   L   T   A   S   L
GAG CTC GTG ATG ACA CAG TCT CCA TCC TCC CTG ACT GCA TCT CTG
Sac I

                                <-----CDR 1----->
16      20      24      30
G   G   K   V   T   I   T   C   K   A   S   Q   D   I   N
GGA GGC AAA GTC ACC ATC ACT TGC AAG GCA AGC CAA GAC ATT AAC

----->
31      34      40      45
K   Y   I   A   W   Y   Q   H   K   P   G   K   G   P   R
AAG TAT ATA GCT TGG TAC CAA CAC AAG CCT GGA AAA GGT CCT AGG

                                <-----CDR 2----->
46      50      56      60
L   L   I   H   Y   T   S   T   L   Q   P   G   I   P   S
CTG CTC ATA CAT TAC ACN TCT ACA TTA CAG CCA GGC ATC CCA TCA

61      65      70      75
R   F   S   G   S   G   S   G   R   D   Y   S   F   S   I
AGG TTC AGT GGA AGT GGG TCT GGG AGA GAT TAT TCC TTC AGC ATC

                                <----->
76      80      85      89
S   N   L   E   P   E   D   I   A   T   Y   Y   C   L   Q
AGC AAC CTG GAG CCT GAA GAT ATT GCA ACT TAT TAT TGT CTA CAG

----->CDR 3----->
91      96      103
Y   D   N   L   W   T   F   G   G   G   T   K   L   E   I
TAT GAT AAT CTG TGG ACG TTC GGT GGA GGC ACC AAG CTT GAA ATC
                                Hind III

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# CA1 (AB2) VH sequence

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1       3       6       10      16
Q   G   Q   L   L   E   S   G   G   G   L   V   Q   P   G   G
CAG GTG CAA CTG CTC GAG TCT GGG GGA GGT TTA GTG CAG CCT GGA GGG
                Xho I

17       20       23       26       30 31
S   L   K   L   S   C   A   S   G   F   T   F   S   S   Y
TCC CTG AAA CTC TCC TGT GCA GCC TCT GGA TTC ACT TTC AGT AGC TAT

----->
35       40       45       48
T   M   S   W   V   R   Q   T   P   E   K   R   L   E   W   V
ACC ATG TCT TGG GTT CGC CAG ACT CCA GAG AAG AGG CTG GAG TGG GTC

<-----CDR2-----
49 50       52 52a       55       60
A   Y   I   S   N   G   G   G   S   T   Y   Y   P   D   T   V
GCA TAC ATT AGT AAT GGT GGT GGT AGC ACC TAC TAT CCA GAC ACT GTA

----->
65       70       75       79
K   G   R   F   T   I   S   R   D   N   A   K   N   T   L   Y
AAG GGC CGA TTC ACC ATC TCC AGA GAC AAT GCC AAG AAC ACC CTG TAC

80       82 82a 82b 82c 83       85       90       92
L   Q   M   S   S   L   K   S   E   D   T   A   M   Y   Y   C
CTG CAA ATG AGC AGT CTG AAG TCT GAG GAC ACG GCC ATG TAT TAC TGT

<-----CDR3----->
93       95       102      108
A   R   H   G   Y   Y   A   M   D   Y   W   G   Q   G   T   S
GCA AGA CAT GGT TAC TAT GCT ATG GAC TAC TGG GGT CAA GGA ACC TCA

109      113      117
V   T   V   S   S   A   N   S   K
GTC ACC GTC TCC TCA GCG AAT TCT AAG
                        EcoR I

```



## BLAST 2 SEQUENCES

This tool produces the alignment of two given sequences using BLAST engine for local alignment. The stand-alone executable for blasting two sequences (bl2seq) can be retrieved from NCBI ftp site  
**Reference:** Tatiana A. Tatusova, Thomas L. Madden (1999), "Blast 2 sequences - a new tool for comparing protein and nucleotide sequences", FEMS Microbiol Lett. 174:247-250

Program  Matrix

Parameters used in BLASTN program only:

Reward for a match:  Penalty for a mismatch:

☐ Use Mega BLAST Strand option

Open gap  and extension gap  penalties  
gap x\_dropoff  expect  word size  Filter ☒

Sequence 1 Enter accession or GI  or download from file

or sequence in FASTA format from:  to:

```
ATT CCC GTT TTG GAC GAG AAC GGG TTA TTT GCT CCG
```

Sequence 2 Enter accession or GI  or download from file

or sequence in FASTA format from:  to:

```
AGC TAT ACC ATG TCT TGG GTT CGC CAG ACT CCA GAG AAG AGG CTG
GAG TGG GTC GCA TAC ATT AGT AAT GGT GGT AGC ACC TAC TAT
CCA GAC ACT GTA AAG GGC CGA TTC ACC ATC TCC AGA GAC AAT GCC
AAG AAC ACC CTG TAC CTG CAA ATG AGC AGT CTG AAG TCT GAG GAC
ACG GCC ATG TAT TAC TGT GCA AGA CAT GGT TAC TAT GCT ATG GAC
TAC TGG GGT CAA GGA ACC TCA GTC ACC GTC TCC TCA GCG AAT TCT
AAG
```

Comments and suggestions to [blast-help@ncbi.nlm.nih.gov](mailto:blast-help@ncbi.nlm.nih.gov)



## Blast 2 Sequences results

BLAST 2 SEQUENCES RESULTS VERSION BLASTN 2.2.5 [Nov-16-2002]

Match:  Mismatch:  gap open:  gap extension:   
x\_dropoff:  expect:  wordsize:  ☒ Filter

---

Sequence 1 lclseq\_1 Length 36

Sequence 2 lclseq\_2 Length 363

No significant similarity was found

## BLAST 2 SEQUENCES

This tool produces the alignment of two given sequences using BLAST engine for local alignment. The stand-alone executable for blasting two sequences (bl2seq) can be retrieved from NCBI ftp site  
Reference: Tatiana A. Tatusova, Thomas L. Madden (1999), "Blast 2 sequences - a new tool for comparing protein and nucleotide sequences", FEMS Microbiol Lett. 174:247-250

Program  Matrix

Parameters used in BLASTN program only:

Reward for a match:  Penalty for a mismatch:

☐ Use Mega BLAST Strand option

Open gap  and extension gap  penalties  
gap x\_dropoff  expect  word size  Filter ☒

Sequence 1 Enter accession or GI  or download from file

or sequence in FASTA format from:  to:

ATT CCC GTT TTG GAC GAG AAC GGG TTA TTT GCT CCG

Sequence 2 Enter accession or GI  or download from file

or sequence in FASTA format from:  to:

GAG CTC GTG ATG ACA CAG TCT CCA TCC TCC CTG ACT GCA TCT CTG  
GGA GGC AAA GTC ACC ATC ACT TGC AAG GCA AGC CAA GAC ATT AAC  
AAG TAT ATA GCT TGG TAC CAA CAC AAG CCT GGA AAA GGT CCT AGG  
CTG CTC ATA CAT TAC ACN TCT ACA TTA CAG CCA GGC ATC CCA TCA  
AGG TTC AGT GGA AGT GGG TCT GGG AGA GAT TAT TCC TTC AGC ATC  
AGC AAC CTG GAG CCT GAA GAT ATT GCA ACT TAT TAT TGT CTA CAG  
TAT GAT AAT CTG TGG ACG TTC GGT GGA GGC ACC AAG CTT GAA ATC

Comments and suggestions to [blast-help@ncbi.nlm.nih.gov](mailto:blast-help@ncbi.nlm.nih.gov)



## Blast 2 Sequences results

BLAST 2 SEQUENCES RESULTS VERSION BLASTN 2.2.5 [Nov-16-2002]

Match:  Mismatch:  gap open:  gap extension:   
x\_dropoff:  expect:  wordsize:  Filter ☒

---

Sequence 1 lclseq\_1 Length 36

Sequence 2 lclseq\_2 Length 315

No significant similarity was found

## PEPI-3 p16 sequence

*XhoI*  
 1 CCG **CTC GAG** AAA AGA GAG GCT GAA GCT GGT CCG ATT CCC GTT TTG 45  
 1 P L E K R E A E A G P I P V L 15  
 -----Forward primer-----I-----PEPI-----

*BamHI*  
 46 GAC GAG AAC GGG TTA TTT GCT CCG AGT CCG **GGA TCC** AGG AAC CGC 90  
 16 D E N G L F A P S P G S R N R 30  
 -----I SpacerI-----

91 TGG GAG GAG CCT GAC CAG CAG CTC TAC AAC GTA GAG GCC AGT GGA 135  
 31 W E E P D Q Q L Y N V E A S G 45  
 ----1<sup>st</sup> p16-----I-----

*BanII*  
 136 TCT GGT GGA GGG **GGC TCT** GGT GGA GGT GGA AGC GGA TCT CGG AAC 180  
 46 S G G G S G G G G S G S R N 60  
 -----Spacer-----I-----

181 CGC TGG GAG GAG CCT GAC CAG CAG CTC TAC AAC GTA GAG GCC AGT 225  
 61 R W E E P D Q Q L Y N V E A S 75  
 ----2<sup>nd</sup> p16-----I-----

*BspEI*  
 226 GGA TCT GGT GGA GGT GGT **TCC GGA** GGA GGT GGA AGT GGA TCT CGG 270  
 76 G S G G G S G G G G S G S R 90  
 -----Spacer-----I-----

271 AAC CGC TGG GAG GAG CCT GAC CAG CAG CTC TAC AAC GTA GAG GCA 315  
 91 N R W E E P D Q Q L Y N V E A 105  
 ----3<sup>rd</sup> p16-----

*SacII*  
 316 AGT GGA TCT GAA GAG TTC TGA CTC AAG **CCG CGG** GGA 351  
 106 S G S E E F Stop  
 ---I SpacerI TripeptideI---Backward primer---

Enzyme cleavage sites are italicized.